UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

1444

7590

12/10/2008

BROWDY AND NEIMARK, P.L.L.C. 624 NINTH STREET, NW SUITE 300 WASHINGTON, DC 20001-5303

EXAMINER					
EMCH, GREGORY S					
ART UNIT	PAPER NUMBER				
1649					

DATE MAILED: 12/10/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/506,665	09/07/2004	Beka Solomon	SOLOMON6A	5010

TITLE OF INVENTION: IMMUNIZING COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE AGAINST THE SS-SECRETASE CLEAVAGE SITE OF AMYLOID PRECURSOR PROTEIN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/10/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS; This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

indicated unless correcte maintenance fee notification		nerwise in Block 1, by (	a) specifying a new corres	pondence address;	and/o	r (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDI	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Feet	c) Transmittal Th	is certif	icate cannot be used f	r domestic mailings of the or any other accompanying nt or formal drawing, must
1444	7590 12/10	/2008	III. (			e of Mailing or Transi	
624 NINTH STE SUITE 300		L.L.C.	I he Stat addi tran:	reby certify that thes Postal Service v	is Fee(	s) Transmittal is being	ideposited with the United it class mail in an envelope above, or being facsimile ate indicated below.
WASHINGTON	I, DC 20001-5303						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/506,665	09/07/2004		Beka Solomon			SOLOMON6A	5010
SS-SECRETASE CLEA	VAGE SITE OF AMYI	OID PRECURSOR PRO	1				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0		\$1055	03/10/2009
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
EMCH, GR		1649	424-094100				
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			registered attorney or agent) and the names of up to				
PLEASE NOTE: Unl recordation as set forti (A) NAME OF ASSIG	ess an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee oletion of this form is NO	T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assign assignment. and STATE OR C	COUNT	TRY)	ocument has been filed for
Please check the appropri	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🖵 Co	orporati	on or other private gro	oup entity 🔲 Government
4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Stat	tus (from status indicated s SMALL ENTITY statu	*	b. Applicant is no long	oon oloimino SMA)	I I DAY	PITV status Cos 27 CI	ED 1 27(~)(2)
NOTE: The Issue Fee and	d Publication Fee (if req	uired) will not be accepte	d from anyone other than t				e assignee or other party in
interest as shown by the i	records of the United Sta	ites Patent and Trademark	COffice.				
Authorized Signature				Date			
Typed or printed name	ē			Registration N	lo		
an application. Confident submitting the completed this form and/or suggesti	tiality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	1.14. This collection is est depending upon the indivite Chief Information Office	imated to take 12 i idual case. Any co cr. U.S. Patent and	minutes mment Trader	s to complete, including ts on the amount of tir nark Office, U.S. Depa	I by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/506,665 09/07/2004		Beka Solomon	SOLOMON6A	5010
1444 75	590 12/10/2008		EXAM	INER
BROWDY AND NEIMARK, P.L.L.C.		EMCH, GREGORY S		
624 NINTH STRE	ET, NW		ART UNIT	PAPER NUMBER
SUITE 300 WASHINGTON, DC 20001-5303			1649 DATE MAILED: 12/10/200	8

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 91 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 91 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)				
	10/506,665	SOLOMON, BEKA				
Notice of Allowability	Examiner	Art Unit				
	Gregory S. Emch	1649				
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comm <b>GHTS</b> . This application is	in this application. If not include nunication will be mailed in due o	d course. <b>THIS</b>			
1. X This communication is responsive to the amendment filed	on 28 August 2008.					
2. The allowed claim(s) is/are <u>1-15,27,29 and 42-58 renumber</u>	red as 1,14-16,19-23,2,24	-27,3,5,6,17,18,28-34,4,13 and	<u>7-12</u> .			
<ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority ur</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ul>		) or (f).				
□ Certified copies of the priority documents have		ion No.				
3. Copies of the certified copies of the priority do	• • •		on from the			
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.						
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OTICE OF			
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.					
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Revie	ew ( PTO-948) attached				
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date						
<ul><li>(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date</li></ul>	s Amendment / Comment	or in the Office action of				
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			back) of			
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			ote the			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5  Notice of I	Informal Patent Application				
<ol> <li>Notice of References Cited (PTO-092)</li> <li>Dotice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		Summary (PTO-413),				
,	Paper No	o./Mail Date				
3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 05/19/2008	/. ⊠ Examiner	s Amendment/Comment				
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	_	s Statement of Reasons for Allov	vance			
	9.					

### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Allen Yun on 04 December 2008.

The application has been amended as follows:

# In the claims:

In claim 15, line 4, "human" was deleted.

In claim 15, line 4, "in need thereof" was deleted.

In claim 15, lines 6-7, ", thereby inhibiting the formation of amyloid  $\beta$ " was deleted.

# In the specification:

On the abstract, line 1, "OF THE DISCLOSURE" was deleted.

On the abstract, line 3, "AssPP" was deleted and replaced by "AβPP."

On the abstract, line 4, "ss-secretase" was deleted and replaced by "β-

secretase."

On the abstract, line 4, "AssPP" was deleted and replaced by "AßPP."

Application/Control Number: 10/506,665

Art Unit: 1649

On the abstract, line 5, "ss-secretase" was deleted and replaced by "β-secretase."

On the abstract, line 5, "AssPP" was deleted and replaced by "ABPP."

On the abstract, line 6, "ss-secretase" was deleted and replaced by "β-secretase."

On the abstract, line 6, "AssPP" was deleted and replaced by "A\u00e3PP."

On the abstract, line 7, "amyloid ss" was deleted and replaced by "amyloid \( \beta \)."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory S. Emch whose telephone number is (571) 272-8149. The examiner can normally be reached 9:00 am - 5:30 pm EST (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey J. Stucker can be reached at (571) 272-0911. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Gregory S. Emch, Ph.D. Patent Examiner Art Unit 1649
05 December 2008

/<u>Elizabeth C. Kemmerer</u>/ Elizabeth C. Kemmerer, Ph.D. Primary Examiner, Art Unit 1646

Page 3